

SS&C SURVEY: MOST INVESTMENT PROFESSIONALS EXPECT TO INCREASE THEIR FIRM'S TECHNOLOGY BUDGET TO COMPLY WITH THE DOL RULE

80%

Nearly 80% of respondents say the new DOL rule will require changes to their policies, procedures and technology systems.



85%

85% of firms expect to allocate more of their budget to adopting technology to comply with the DOL rule.



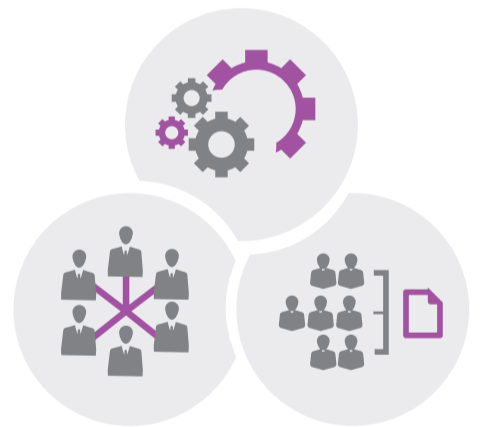
62%

62% of firms believe that complying with the rule will affect the makeup of their client base.



THE TOP 3 GROWTH CHALLENGES KEEPING FIRMS UP AT NIGHT

- 28%** Operational efficiency
- 22%** Relevancy of current service model for today's more demanding clients
- 19%** Attracting the right advisor talent to meet our growth needs



4 TOP TECHNOLOGY NEEDS

Firms anticipate needing to adopt enhanced technologies for:

- Document management/client portal
- Billing – fee scheduling and disclaimer support
- Portfolio management and reporting
- Financial planning

