Modern Slavery Act 2015: Slavery and Human Trafficking Statement

We are committed to improving our practices to combat slavery and human trafficking.

We consider this an extremely important issue and we aspire to go beyond the requirements of the Modern Slavery Act 2015, embedding the responsibility to respect human rights into our culture, in the hope that our transparent approach to combating modern slavery will serve as a model for others and drive up standards across our sector.

We regularly review our practices to ensure that we adopt the best anti-slavery processes for our business. We endeavour to demonstrate our approach to tackling modern slavery to our clients and the public as a whole.

ORGANISATION’S STRUCTURE

We are a provider of Software and Fund Middle/Back Office Services in the Financial Services sector. We operate across North America, Europe, Asia and Australia. Our ultimate parent company, SS&C Technologies Holdings, Inc., is based in Connecticut, US.

Further details about our group can be found at ‘About Us’ on our website at the following link: https://investor.ssctech.com/investor-relations/overview/default.aspx.

Our quarterly financial reporting can be found at ‘Press Releases’ on our website at the following link: https://investor.ssctech.com/investor-relations/press-releases/default.aspx.

OUR POLICIES ON SLAVERY AND HUMAN TRAFFICKING

We are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our Anti-slavery Policies reflect our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.
DUE DILIGENCE PROCESSES FOR SLAVERY AND HUMAN TRAFFICKING

As part of our initiative to identify and mitigate risk we have in place systems to:

• Identify and assess potential risk areas in our supply chains.
• Mitigate the risk of slavery and human trafficking occurring in our supply chains.
• Monitor potential risk areas in our supply chains.
• Protect whistle blowers.

SUPPLIER ADHERENCE TO OUR VALUES AND ETHICS

To ensure all those in our supply chain and contractors comply with our values we have in place a supply chain compliance programme that reviews our supply chain and contractors on a periodic basis. We have a dedicated compliance team, which consists of involvement from the following departments:

• Audit and compliance.
• Human resources.
• Procurement.
• Legal Department.

TRAINING

We communicate the policy and statement to all employees to ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business.

OUR EFFECTIVENESS IN COMBATING SLAVERY AND HUMAN TRAFFICKING

We believe that slavery and human trafficking is not taking place in any part of our business or supply chains.

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015. It has been approved by the individual boards of directors and constitutes our slavery and human trafficking statement for the financial year ending 31 December 2018.

Signed by Patrick Pedonti
Director of SS&C Financial Services Limited
Date: 11 June 2019

Signed by Nicholas Wright
Director of DST Financial Services International Limited
Date: 17 May 2019

Signed by Patrick Pedonti
Director of SS&C Solutions Limited
Date: 11 June 2019

Signed by Nicholas Wright
Director of DST Financial Services Europe Limited
Date: 17 May 2019